

UNITED STATES DEPARTMENT OF TRANSPORTATION



U.S. DOT#: 9999999
MC/MX#: 111111

Legal: Sample Company
Operating (DBA):

Investigation Date:
11/21/19

Investigation Type: Onsite Focused Investigation

Location of Investigation: Company principal place of business (PPOB)

Extent of Operations: Entire Operation

Physical Address

58 Copperfield Circle
Lititz, PA 17543
United States

Mailing Address

58 Copperfield Circle
Lititz, PA 17543
United States

Contact Information

Contact Name: John Adams

Email: test

Phone: test

Cell: test

Fax: test

Business and Financial

Business Type: Limited Liability Corporation

Gross Revenue: \$400,000 **For Year Ending:** 12/31/18

Federal Tax ID: test (EIN)

Operation Classification and Type

Type of Operation: Non-HM Interstate Carrier

Operation Classification

For-Hire Motor Carrier

Property

Other Non-Hazardous Freight

Cargo

Other (dirt, stone)

Equipment

	Owned	Term Leased	Trip Leased
Straight Trucks	5		

Power units used in the U.S.: 5

Percentage of time used in the U.S.: 100.0%

Driver Information

Drivers

	Intrastate	Interstate
< 100 Miles		6
>= 100 Miles		

Average trip leased driver/month: 0

Drivers with CDL: 6

Total Drivers: 6

Person(s) Interviewed

Name: John Adams

Title: MEMBER/OWNER

Name:

Title: SPOUSE

Questions

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

Phone: (302) 734-8173

Fax: (302) 346-5101

This report will be used to assess your safety compliance.

Violations

1. Primary: 382.305

Failing to implement a random controlled substance and/or an alcohol testing program.



Acute

Violations Discovered

Fed	State	Total
1		1

Violations Checked

Fed	State	Total
1		1

BASIC Impacted
Controlled
Substances/Alcohol

Rating Factor 2:
Driver = Part 382

Example/Notes:

Driver name: test
Trip date: 09/27/2018, Milford, DE to Pennsylvania
Calendar year: 2018

Drivers/Vehicles

In Violation	Checked

2. Primary: 382.301(a)

Using a driver before the motor carrier has received a negative pre-employment controlled substance test result.



Critical

At least 10% of the number checked had violations

Violations Discovered

Fed	State	Total
2		2

Violations Checked

Fed	State	Total
2		2

BASIC Impacted
Controlled
Substances/Alcohol

Rating Factor 2:
Driver = Part 382

Example/Notes:

Name: test
Trip date: 01/07/2019, Ingleside, MD to Middletown, PA
Hire date: 12/14/2018

Drivers/Vehicles

In Violation	Checked
2	2

3. Primary: 395.8(k)(1)

Failing to preserve driver's record of duty status for 6 months.

Violations Discovered

Fed	State	Total

BASIC Impacted
Hours-of-Service
Compliance

Rating Factor 3:
Operational = Part 395



Critical

At least 10% of the number checked had violations

	26	26
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Violations Checked

Fed	State	Total
1	29	30

Example/Notes:

Driver name: test

Trip date: 08/02/2019, Milford, DE to Lewis, DE

Description of violation: Failing to require a driver to prepare a record of duty status using the appropriate method, motor carrier operates under the short haul exemption.

Drivers/Vehicles

In Violation	Checked
2	2

4. Primary: 382.601(a)

Failing to provide educational materials explaining requirements of part 382 and employer's drug and alcohol program policies.

Violations Discovered

Fed	State	Total
5		5

Violations Checked

Fed	State	Total
5		5

Example/Notes:

Name: test

Trip date: 01/07/2019, Ingleside, MD to Middletown, PA

Information not provided: No educational materials provided.

Drivers/Vehicles

In Violation	Checked
5	5

5. Primary: 382.601(b)

Failing to provide to employees a written policy on misuse of alcohol and controlled substances that meets the requirements of 382.601(b) 1-11.

Violations Discovered

Fed	State	Total
5		5

Violations Checked

Fed	State	Total
5		5

Example/Notes: Name:

test

Drivers/Vehicles

In Violation	Checked
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Trip date: 01/07/2019, Ingleside, MD to Middletown, PA

Information not included in policy: Written policy not provided covering the requirements in 382.601(b) 1-11.

5

5

6. Primary: 382.603

Failing to ensure person designated to determine that drivers undergo reasonable suspicion testing receive 60 minutes training for alcohol and/or 60 minutes of training for controlled substances.

Violations Discovered

Fed	State	Total
1		1

Violations Checked

Fed	State	Total
1		1

Example/Notes:

Name: test

Trip date: 01/07/2019, Ingleside, MD to Middletown, PA

Supervisor/Company official not trained: test

Drivers/Vehicles

In Violation	Checked

7. Primary: 391.21(a)

Using a driver who has not completed and furnished an employment application.

Violations Discovered

Fed	State	Total
5		5

Violations Checked

Fed	State	Total
5		5

Example/Notes:

Driver name: test

Trip date: 09/13/2019, Wilmington, DE to Elkton, MD

Description of violation: All drivers had an application, but all applications were incomplete based on regulation requirements of 391.21(a) 1-12.

Drivers/Vehicles

In Violation	Checked
5	5

8. Primary: 391.23(a)

Failing to investigate driver's background.

Violations Discovered

Fed	State	Total
4		4

Violations Checked

Fed	State	Total
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5		5
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Example/Notes:

Driver name: test

Trip date: 09/13/2019, Wilmington, DE to Elkton, MD

Description of violation: Failed to provide an inquiry, within 30 days of the date the driver's employment begins, to each State where the driver held or holds a motor vehicle operator's license or permit during the preceding 3 years to obtain that driver's motor vehicle record.

Drivers/Vehicles

In Violation	Checked
4	5

9. Primary: 391.45(b)(1)

Secondary: 391.11(a)

Using a driver not medically examined and certified during the preceding 24 months.

Violations Discovered

Fed	State	Total
	1	1

Violations Checked

Fed	State	Total
	5	5

Example/Notes:

Driver name: test

Trip date: 10/25/2019, Milford, DE to Lewis, DE

Last examination date: 07/02/2019

Drivers/Vehicles

In Violation	Checked
1	5

10. Primary: 391.51(b)(4)

Failing to maintain the responses of each State agency to the annual driver record inquiry required by 391.25(a).

Violations Discovered

Fed	State	Total
4		4

Violations Checked

Fed	State	Total
4		4

Example/Notes:

Driver name: test

Trip date: 09/13/2019, Wilmington, DE to Elkton, MD

Description of violation: Failing to maintain the responses of each State agency to the annual driver record inquiry required by 391.25(a) in driver qualification files.

Drivers/Vehicles

In Violation	Checked
4	4

11. Primary: 391.51(b)(5)

Failing to maintain a note relating to the annual review of the driver's driving record as required by 391.25(c)(2).

Violations Discovered

Fed	State	Total
4		4

Violations Checked

Fed	State	Total
4		4

Example/Notes:

Driver name: test

Trip date: 09/13/2019, Wilmington, DE to Elkton, MD

Description of violation: Failing to maintain a note relating to the annual review of the driver's driving record as required by 391.25(c)(2) in driver qualification files.

Drivers/Vehicles

In Violation	Checked
4	4

12. Primary: 391.51(b)(6)

Failing to maintain a list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27.

Violations Discovered

Fed	State	Total
4		4

Violations Checked

Fed	State	Total
4		4

Example/Notes:

Driver name: test

Trip date: 09/13/2019, Wilmington, DE to Elkton, MD

Description of violation: Failing to maintain a list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27 in driver qualification files.

Drivers/Vehicles

In Violation	Checked
4	4

13. Primary: 391.51(b)(9)

Failing to place a note related to the verification of the medical examiner's listing on the National Registry of Certified Medical Examiners required by 391.23(m) in driver qualification file(s).

Violations Discovered

Fed	State	Total
5		5

Violations Checked

Fed	State	Total
5		5

Example/Notes:

Driver name: test

Trip date: 07/24/2019, Milford, DE to East Petersburg, PA

Description of violation: No verification of the medical examiner's listing on the National Registry of Certified Medical Examiners required by 391.23(m) in driver qualification files.

Drivers/Vehicles

In Violation	Checked
5	5

14. Primary: 392.2

Operating a commercial motor vehicle not in accordance with the laws, ordinances, and regulations of the jurisdiction in which it is being operated - Unsafe Driving.

Violations Discovered

Fed	State	Total
1		1

Violations Checked

Fed	State	Total
1		1

Example/Notes:

11/22/2019 - The FMCSA and State partners have identified violations across multiple inspections at the roadside over the previous 24 months that are reflected in the Unsafe Driving BASIC of the Carrier Safety Measurement System, including: 392.16 Failing to use seat belt while operating a CMV x 1; 392.2-SLLS2 Speeding 6-10 mph over the speed limit x 1; 392.2-SLLS3 Speeding 11-14 mph over the speed limit x 1; 392.2-SLLS4 Speeding 15 or more over the speed limit x 1; etc.

Drivers/Vehicles

In Violation	Checked

15. Primary: 396.3(b)(1)

Failing to keep a maintenance record which identifies the vehicle, including make, serial number, year, and tire size.

Violations Discovered

Fed	State	Total
2		2

Violations Checked

Fed	State	Total
2		2

Example/Notes:

Driver name: test

Trip date: 06/25/2019: East Petersburg, PA to Wilmington, DE

Company number/license number: 163/DE-CL-119208, Maintenance record needs to identify the vehicle, including make, serial number, year, and tire size.

Drivers/Vehicles

In Violation	Checked
2	2